

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Rosanna L. GO

Date: November 12, 2001

Serial No.: T.B.A.

Group Art Unit: N/A

Filed: November 12, 2001

Examiner: N/A

For: **ELECTRONIC PROCUREMENT SYSTEM AND METHOD FOR TRADING AND EXCHANGE BY INSURERS, REINSURERS AND BROKERS OF RISKS AND CAPACITIES**

Asst. Commissioner for Patents

Washington, D.C. 20231

PRELIMINARY AMENDMENT/SUBMISSION

Prior to the examination of the above identified application for patent, please amend the application as follows: Reconsideration of the application is respectfully requested.

FEE CALCULATION

Any additional fee required has been calculated as follows:

If checked, "Small Entity" status is claimed.

NO. CLAIMS	HIGHEST NO.			
AFTER	PREVIOUSLY			ADDIT.
AMENDMENT	PAID FOR	EXTRA PRESENT	RATE	FEE
<u>TOTAL</u>	<u>MINUS</u>	<u>* =</u>	<u>X</u> <u>(\$9 SE or \$18)</u>	<u>\$</u>
<u>INDEP.</u>	<u>MINUS</u>	<u>** =</u>	<u>X</u> <u>(\$40 SE or \$80)</u>	<u>\$</u>
<u>FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM</u>		<u>X</u> <u>(\$135 SE or \$270)</u>	<u>\$</u>	

* not less than 20 ** not less than 3

TOTAL \$

If any additional payment is required, a check which includes the calculated fee of \$_____
(OFGS Check No. _____) is attached.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

AMENDMENTS

If checked, amendment(s) to the specification and/or claims are submitted herewith.

1. If checked, an abstract is submitted as the last page of Appendix A.

2. Specification:

Please add the section(s) on page 1, after line 1, pursuant to 37 C.F.R. § 1.121(b)(ii) as set forth in the "clean" version attached hereto as Appendix A. Entry is respectfully requested.

3. Claims:

Please cancel claims without prejudice.

Please amend claims and add new claims pursuant to 37 C.F.R. § 1.121(c)(i) as set forth in the "clean" version attached hereto as Appendix A. Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(c)(ii) is attached hereto as Appendix B.

If checked, the optional complete set of "clean" claims pursuant to 37 C.F.R. § 1.121(c)(3) is attached hereto as Appendix C.

REMARKS/ARGUMENT

The Specification has been amended to add reference to the related application.

It is respectfully submitted that this application is now believed to be condition for allowance which action is respectfully requested.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL334616069US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on November 12, 2001:

Dorothy Jenkins

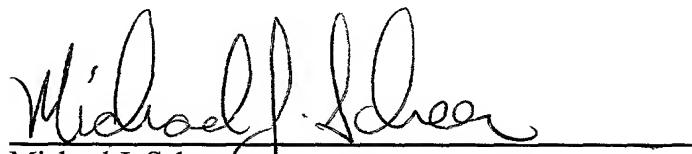
Name of Applicant, assignee or
Registered Representative



November 12, 2001

Date of Signature

Respectfully submitted,



Michael J. Scheer

Registration No.: 34,425

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

APPENDIX A
“CLEAN” VERSION OF EACH PARAGRAPH/SECTION/CLAIM
37 C.F.R. § 1.121(b)(ii) AND (c)(i)

SPECIFICATION:

Please add the following beginning at page 1, line 2:

CROSS REFERENCE TO RELATED APPLICATIONS:

This application is based on and claims priority to U.S. Provisional Patent Application No. 60/252,616, filed on November 22, 2000, entitled ELECTRONIC PROCUREMENT SYSTEM AND METHOD FOR TRADING AND EXCHANGE BY INSURERS, REINSURERS AND BROKERS OF RISKS AND CAPACITIES.

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